

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE
(REV. 7-2005)

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

PB60925USw

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/564299

INTERNATIONAL APPLICATION NO.

PCT/EP2004/007820

INTERNATIONAL FILING DATE

9 July 2004

PRIORITY DATE CLAIMED

11 July 2003

TITLE OF INVENTION

SPECIFIC GLUCOCORTICOSTEROID COMPOUND HAVING ANTI-INFLAMMATORY ACTIVITY

APPLICANT(S) FOR DO/EO/US

Keith BIGGADIKE; Deborah NEEDHAM

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. EV332063637US

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 24pt; font-weight: bold; text-align: center;">10/564299</div>	INTERNATIONAL APPLICATION NO. <div style="font-weight: bold; text-align: center;">PCT/EP2004/007820</div>	ATTORNEY'S DOCKET NUMBER <div style="font-weight: bold; text-align: center;">PB60925USw</div>														
23. Other items or information: <div style="margin-left: 20px;">Copies of: PCT Request; PCT publication cover page</div>																
The following fees have been submitted:																
24. <input checked="" type="checkbox"/> Basic national fee	\$300	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 60%;">CALCULATIONS</th> <th style="width: 40%;">PTO USE</th> </tr> <tr> <td style="text-align: right;">\$</td> <td style="text-align: right;">\$300.00</td> </tr> </table>	CALCULATIONS	PTO USE	\$	\$300.00										
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\$	\$300.00															
25. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article prepared by IPEA/US indicates all claims satisfy provisions of PCT Article \$0 All other situations. \$200	\$200	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: right;">\$</td> <td style="text-align: right;">\$200.00</td> </tr> </table>	\$	\$200.00												
\$	\$200.00															
26. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). . . \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority. \$100 International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB. \$400 All other situations. \$500	\$500	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: right;">\$</td> <td style="text-align: right;">\$400.00</td> </tr> </table>	\$	\$400.00												
\$	\$400.00															
TOTAL OF 24, 25 and 26 =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: right;">\$</td> <td style="text-align: right;">\$900.00</td> </tr> </table>	\$	\$900.00												
\$	\$900.00															
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.																
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 15%;">Total Sheets</th> <th style="width: 15%;">Extra Sheets</th> <th style="width: 35%;">Number of each additional 50 or fraction thereof (round up to a whole)</th> <th style="width: 35%;">RATE</th> </tr> <tr> <td>28 - 100 =</td> <td>0 /50 =</td> <td>0</td> <td>x \$250.00</td> </tr> </table>	Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE	28 - 100 =	0 /50 =	0	x \$250.00	\$0.00	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: right;">\$</td> <td style="text-align: right;">\$0.00</td> </tr> </table>	\$	\$0.00				
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28 - 100 =	0 /50 =	0	x \$250.00													
\$	\$0.00															
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).																
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 15%;">CLAIMS</th> <th style="width: 15%;">NUMBER FILED</th> <th style="width: 35%;">NUMBER EXTRA</th> <th style="width: 35%;">RATE</th> </tr> <tr> <td>Total claims</td> <td>8 - 20 =</td> <td>0</td> <td>x \$50.00</td> </tr> <tr> <td>Independent claims</td> <td>1 - 3 =</td> <td>0</td> <td>x \$200.00</td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	8 - 20 =	0	x \$50.00	Independent claims	1 - 3 =	0	x \$200.00	\$0.00	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: right;">\$</td> <td style="text-align: right;">\$0.00</td> </tr> </table>	\$	\$0.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE													
Total claims	8 - 20 =	0	x \$50.00													
Independent claims	1 - 3 =	0	x \$200.00													
\$	\$0.00															
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00																
TOTAL OF ABOVE CALCULATIONS =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: right;">\$</td> <td style="text-align: right;">\$900.00</td> </tr> </table>	\$	\$900.00												
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<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.																
SUBTOTAL =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: right;">\$</td> <td style="text-align: right;">\$900.00</td> </tr> </table>	\$	\$900.00												
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Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).																
TOTAL NATIONAL FEE =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: right;">\$</td> <td style="text-align: right;">\$900.00</td> </tr> </table>	\$	\$900.00												
\$	\$900.00															
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +																
TOTAL FEES ENCLOSED =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: right;">\$</td> <td style="text-align: right;">\$900.00</td> </tr> </table>	\$	\$900.00												
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10/564299

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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- Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.
- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 07-1392 in the amount of \$ \$900.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1392. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

CUSTOMER NUMBER
23347

SIGNATURE

James P. Riek


NAME

39,009

REGISTRATION NUMBER

January 11, 2006

DATE

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Keith BIGGADIKE et al.			Docket No. PB60925USw	
Application No. 10,566,299 To be assigned	Filing Date Concurrently herewith	Examiner To be assigned	Customer No. 23347	Group Art Unit To be assigned
Invention: SPECIFIC GLUCOCORTICOSTEROID COMPOUND HAVING ANTI-INFLAMMATORY ACTIVITY				
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; margin: 10px 0;"> <p>U.S. national phase application for patent under 35 USC 371 and accompanying documents</p> </div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <div style="display: flex; justify-content: space-between; align-items: flex-end; margin-top: 20px;"> <div style="text-align: center;"> <p><u>January 11, 2006</u></p> <p><i>(Date)</i></p> </div> <div style="text-align: center;"> <p><u>Marjorie J. Pfeiffer</u></p> <p><i>(Typed or Printed Name of Person Mailing Correspondence)</i></p> <div style="margin-top: 10px;">  <i>(Signature of Person Mailing Correspondence)</i> </div> <div style="margin-top: 20px;"> <p>EV332063645US</p> </div> </div> </div>				
<p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p> <ol style="list-style-type: none"> 1. Transmittal letter (page 3 in duplicate) 2. International Search Report 3. Written Opinion 4. Information Disclosure Statement/PTO 1449 5. Preliminary Amendment (6 pages) 6. Abstract (1 page) 7. Copy of PCT publication cover page 8. Copy of PCT Request 9. Copy of International Preliminary Report on Patentability 10. Return postcard 				